

Controlling Surface Plasmons Through Covariant Transformation of the Spin-Dependent Geometric Phase Between Curved Metamaterials

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General relativity uses curved space-time to describe accelerating frames. The movement of particles in different curved space-times can be regarded as equivalent physical processes based on the covariant transformation between different frames. In this Letter, we use one-dimensional curved metamaterials to mimic accelerating particles in curved space-times. The different curved shapes of structures are used to mimic different accelerating frames. The different geometric phases along the structure are used to mimic different movements in the frame. Using the covariant principle of general relativity, we can obtain equivalent nanostructures based on space-time transformations, such as the Lorentz transformation and conformal transformation. In this way, many covariant structures can be found that produce the same surface plasmon fields when excited by spin photons. A new kind of accelerating beam, the Rindler beam, is obtained based on the Rindler metric in gravity. Very large effective indices can be obtained in such systems based on geometric-phase gradient. This general covariant design method can be extended to many other optical media.

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About a hundred years ago, Albert Einstein told us that the space-time continuum should be understood as a curved geometric space. The equivalence between space-times from different observers relies on the fact that the form of the governing equations remains the same. A uniformly accelerating frame is intrinsically the same as a rest frame with gravity. This recognition allows the inclusion of gravity to generalize the Minkowski space-time in special relativity, allowing a global geometric description of space-time with gravitational masses. Such a geometric theory of gravity successfully predicted gravitational lensing [1], black holes [2,3], and gravitational waves [4]. Surprisingly, a geometric understanding of space-time can be used in optical designs. In 2006, Pendry *et al.* [5] and Leonhardt [6] proposed a transformation approach to design an invisibility cloak. By writing the form-invariant Maxwell's equations in vacuum (the “inertial” frame) with the transformed coordinates in another space [7–9], the equivalence between the two spaces induces generally inhomogeneous indices of refraction, filling up the transformed space (i.e., the “gravity” in a “noninertial” frame), which are then realized by metamaterials [10–18]. Invisibility carpet cloaks [19–21], illusion optics [22], Talbot effects [23] and further extensions to acoustics [24–27], elastic waves [28–30], thermal control [31–33], and even matter waves [34] have been developed. Interestingly, these inhomogeneous indices can then be used to explore different general-relativistic phenomena, like particle motion around

a gravitational field, whose principles can now be easily experimentally studied in desktop-scale experiments [35–46]. Besides transformation optics, some other optical structures, such as surfaces of revolution [47,48] and optical lattices [49,50], were also recently reported to mimic general relativity.

When the geometric interpretation is carried from space-time to the state space of light, the spin-orbit interaction (SOI) can be understood in a geometric-phase setting. An optical spin Hall effect was thus predicted and directly observed in experiments [51,52]. It allows the usage of metasurfaces [53–61] to implement spin-enabled optical devices using an inhomogeneous profile of metamaterial atoms providing the necessary SOI [62–71]. The incorporation of SOI into the inhomogeneous material profile also provides a handy tool to study the specific motions of particles. Recently, Genevet *et al.* have utilized surface plasmon polaritons (SPPs) generated on a metasurface through SOI as an analogous system to study Cherenkov radiation from a charged particle moving with a constant high speed [72]. A chain of metamaterial atoms lying on a straight line on the metasurface is taken as the analogous particle motion along the same trajectory with constant velocity, which is represented by the spatial rate of change of geometric phase in these metamaterial atoms.

As Genevet *et al.* described [72], in the excitation process of surface plasmons, the geometric phase can be employed to mimic different movements in a straight line.

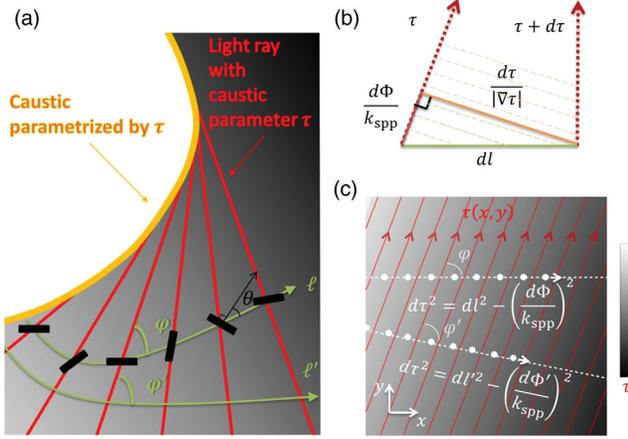


FIG. 1. (a) The transformation sharing the same caustic. (b) The geometric relationship between τ , Φ , and l . (c) The transformation that occurs on the caustic through Cherenkov radiation, which can be mapped to special relativity.

In that paper, only geometric phase is taken into consideration, and the effects of curved shapes of structures are not included. On the other hand, as some recent papers proposed, curved shapes also play an important role in designing optical and electric devices [47–49,73]. In this Letter, we propose a general method to combine curved shape and geometric phase together to design optical structures. Here, drawing on special and general relativity, the different curved shapes of structures are related to different coordinate frames. The different geometric-phase profiles inside the structures are used to mimic different movements in the same frame. In this way, we can obtain different covariant transformations of geometric phase between nanostructures with different curved shapes, such as Lorentz transformations and conformal transformations. This enables us to find many equivalent nanostructures that produce the same surface plasmon fields. The well-known Rindler metric in general relativity is employed to generate a new kind of plasmonic accelerating beam, the Rindler beam. Besides surface plasmons, the proposed covariant design method can also be extended to other optical structures.

We use a one-dimensional chain of metamaterial atoms to generate a predefined caustic of surface plasmon polaritons on a metal surface, schematically shown as the orange curve in Fig. 1(a). A series of nanoslots with orientation profile $\theta(l)$ is placed along a chosen trajectory l , the green curved line in the figure, where θ is the angle between the nanoslot and the trajectory. When the chain of atoms is illuminated by circularly polarized light, the generated SPP signal carries a geometric phase given by $\Phi = \pm[2\theta - \arg(k_x + ik_y)]$ [59]. In our experiments, we use left-handed circularly polarized incidence, and the term $\arg(k_x + ik_y)$ can be neglected: $\Phi = -2\theta$ [74]. When the orientation of the atoms changes along the trajectory, a SPP

ray is emitted at an angle φ governed by $k_{\text{SPP}} \cos \varphi = d\Phi/dl$. This angle is defined with respect to the direction along the trajectory, as shown in Fig. 1(a). The SPP rays (red) emitted from different positions along the trajectory vary in direction and form an envelope, which is called the caustic. Here, we develop a metric route to obtain the required profile of the geometric phase $\Phi(l)$. For a caustic described by a parametric description $(x, y) = (X(\tau), Y(\tau))$ where τ is called the caustic parameter, we first promote the caustic parameter to a global function defined for the spatial region below the caustic by associating τ with a general location (x, y) , so that the caustic is constituted from the tangent points of SPP rays parametrized by the same τ passing through (x, y) . Equivalently,

$$\frac{dY(\tau)}{d\tau} [x - X(\tau)] = \frac{dX(\tau)}{d\tau} [y - Y(\tau)]. \quad (1)$$

The global $\tau(x, y)$, obtained from the above equation, is a convenient geometrical object representing the structure of the caustic. The contour lines of $\tau(x, y)$ are straight lines, namely, the SPP rays constituting the caustic. Figure 1(b) shows two such SPP rays, labeled by τ and $\tau + \Delta\tau$, together with the trajectory of metamaterial atoms. The perpendicular distance can now be written in terms of the global variable τ as $\Delta\tau/|\nabla\tau|$. The length along the trajectory is Δl . The wave front of the SPP ray is shown by the dashed lines, whose effective elapsed optical path should be the geometric phase $\Delta\Phi/k_{\text{SPP}}$. Therefore, we obtain the metric relationship

$$d\tau^2 = |\nabla\tau|^2 [dl^2 - (d\Phi/k_{\text{SPP}})^2]. \quad (2)$$

For a given caustic and a chosen trajectory of atoms, we can obtain the required geometric-phase profile $\Phi(l)$ by integrating the metric relationship along the trajectory as

$$\Phi(l) = k_{\text{SPP}} \int \sqrt{1 - (1/|\nabla\tau|^2)(d\tau/dl)^2} dl. \quad (3)$$

Such a geometric-phase profile is then converted by the orientation profile of the metamaterial atoms. The formulation of $\tau(x, y)$ gives us huge mathematical convenience. However, we note that the parametrization of the caustic is actually not unique. Different choices of τ are like different gauges, while the obtained $\Phi(l)$ is gauge independent. Thus, the construction method we offer provides simplicity, even for complex curved lines.

Moreover, the τ object can exist even if a caustic does not form. A linear progressing $\tau(x, y)$, along a fixed direction, can be used to represent simple planar radiation. In such a case, if we choose any straight-line trajectories [e.g., the trajectory l in Fig. 1(c)], it corresponds to a chain of metamaterial atoms with linear geometric-phase or orientation profile. This chain radiates SPPs at a fixed angle φ

[again with $\cos \varphi = (1/k_{\text{SPP}})d\Phi/dl$]. Such radiation can be interpreted as an analog of Cherenkov radiation from a charged particle moving at a constant velocity larger than the speed of light in a dielectric medium; see, for example, the work of Genevet *et al.* [72]. In Fig. 1(c), we also draw another straight-line trajectory l' , which crosses the SPP rays at a different angle φ' . The two trajectories are equivalent in generating SPP rays, with the orientation of metamaterial atoms changing at two different but constant rates. Here, we take $|\nabla\tau|=1$ on the whole plane and the equivalence can be interpreted as a conservation of $\Delta\tau$ between the two trajectories: $\Delta\tau^2 = dl^2 - (d\Phi/k_{\text{SPP}})^2 = dl'^2 - (d\Phi'/k_{\text{SPP}})^2$. The transformation between the two equivalent trajectories can be written as

$$|\nabla\tau|\begin{pmatrix} dl \\ d\Phi/k_{\text{SPP}} \end{pmatrix} = \begin{pmatrix} \cosh\zeta & \sinh\zeta \\ \sinh\zeta & \cosh\zeta \end{pmatrix} |\nabla\tau'|\begin{pmatrix} dl' \\ d\Phi'/k_{\text{SPP}} \end{pmatrix}, \quad (4)$$

where ζ is called the rigidity between the two trajectories. Here, if the two metachains are regarded as two different reference frames, Eq. (5) can be seen as the Lorentz transformation between them [74], under the analog's mapping $t_M = |\nabla\tau|l$, $x_M = |\nabla\tau|\Phi/k_{\text{SPP}}$

$$\begin{pmatrix} dt_M \\ dx_M \end{pmatrix} = \begin{pmatrix} \cosh\zeta & \sinh\zeta \\ \sinh\zeta & \cosh\zeta \end{pmatrix} \begin{pmatrix} dt'_M \\ dx'_M \end{pmatrix}, \quad (5)$$

which guarantees the conservation of metric $d\tau^2 = dt_M^2 - dx_M^2 = dt'^2_M - dx'^2_M$.

We now extend our scheme to more general situations. For a more general motion, although the velocity changes either in amplitude or in direction, we can still use the same strategy to simulate the motion on a straight or curved line as previously described. Under this circumstance, the appearance of the caustic, which is the envelope of nonparallel τ lines [75], is characteristic of ‘‘Bremsstrahlung radiation,’’ and the emergence of this radiation requires acceleration. For experimental implementation, the change of $\nabla\Phi$ needs to be slow so that, when chains of meta-atoms share the same family of SPP rays (τ lines), the transformation of trajectories is established as shown in Fig. 2(a).

Here, we show an example of transformation between a motion of constant velocity and an accelerating motion by mapping l to ‘‘time’’ and Φ/k_{SPP} to ‘‘length’’ in Fig. 2(a). One of the trajectories, marked in white with a dashed horizontal line, has its acceleration set to be proportional to $l_1^{-3/2}$. The other trajectory, in green with a curved dashed line, has constant velocity (see Fig. S5 in the Supplemental Material [74]). The corresponding scanning electron microscope (SEM) images of the metallic structures are shown in Figs. 2(b) and 2(e) for l_1 and l_2 . Simulations are shown in Figs. 2(c) and 2(f) and our experiments are shown in Figs. 2(d) and 2(g). All four results have the same caustic,

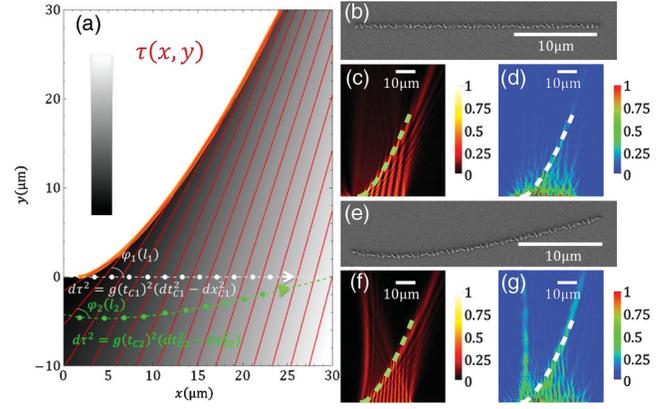


FIG. 2. Transformation between two trajectories. (a) The theoretic calculation. SPP rays marked in red lead to a caustic marked in orange. A transformation occurs between the white dashed line and the green dashed line. (b)–(d) Figures for the case of the white dashed line and (e)–(g) for the case of the green dashed line. (b), (e) SEM images of our samples. (c), (f) Full wave simulations by COMSOL with the green dashed line labeling the corresponding caustic. (d), (g) Experimental results with the white dashed line labeling the corresponding caustic. Legends on the right part of simulations and experiments mark the normalized amplitude with different colors.

indicating shared τ lines, labeled by green or white dashed lines. This provides a good match between theory and experiment. Also, the fact that another caustic appears in the test sample due to the SPP signal, without carrying geometric phase, provides a clue to the trajectory’s curvature. Thus, we extend our experimental platform to curved chains for exploring the more general situation.

To explore the transformation in the more general situations, we need to establish a curved space-time picture based on inhomogeneous distributed τ lines through analogy to general relativity. Thus, we map $t_C = l$ and $x_C = \Phi/k_{\text{SPP}}$ and assume that the two-dimensional space-time represented by this trajectory has the mathematic form

$$dt^2 = g(t_C, x_C)^2(dt_C^2 - dx_C^2). \quad (6)$$

The metric here covers the more general situation and is able to include curvature effect. Since we have established the space-time on trajectories, we might wonder whether the motions defined on different trajectories are in fact the same motion, as shown in Fig. 3. If on two trajectories the motions are the same, then in different space-times, the metric should obey $g_{\mu\nu} = (\partial x^i/\partial x'^\mu)(\partial x^k/\partial x'^\nu)g_{ik}$. This maintains the covariance of the metric, if φ remains the same (see the Supplemental Material [74]). Thus, the transformation can be divided into two steps. The first step is applying the conformal transformation, that $|\nabla\tau| \rightarrow |\nabla\tau'|$ with $\varphi = \varphi'$, $\cosh\zeta = 1$, and $\sinh\zeta = 0$, corresponding to expanding or shrinking the local length of the trajectory. The second step is using the Lorentz

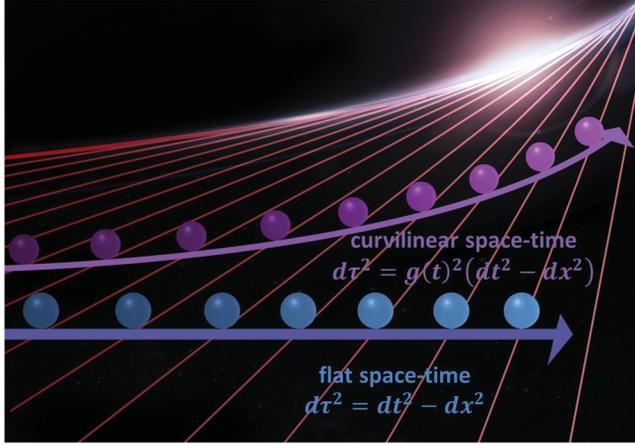


FIG. 3. Geometric picture describing the same event in different coordinates through mimicking Bremsstrahlung radiation of moving particles (blue ball and purple ball) in flat space-time ($g = |\nabla\tau| = 1$) and curvilinear space-time ($g = |\nabla\tau|$), respectively.

transformation, corresponding to rotating the local trajectory element. Therefore, the more general transformation is

$$|\nabla\tau|(l) \begin{pmatrix} dt_C \\ dx_C \end{pmatrix} = |\nabla\tau|(l') \begin{pmatrix} \cosh\zeta & \sinh\zeta \\ \sinh\zeta & \cosh\zeta \end{pmatrix} \begin{pmatrix} dt'_C \\ dx'_C \end{pmatrix}, \quad (7)$$

which contains conformal covariance. Here, there is an approximation that, due to slowly varying φ , we can locally apply Lorentz transformations. Now, the general transformation is established between two curvilinear spaces in our scheme, which can be exploited to explore the transformation of space-times and the corresponding phenomena in general relativity.

When studying the close neighborhood of a black hole, Rindler coordinates are very useful in describing the geometry close to the event horizon with uniform acceleration [76]. A specific experiment result is now presented, analogous to the Rindler transformation in our established scheme. In Fig. 4(a), we first define the motion marked by the white dashed horizontal line to be accelerating to the right with $d\Phi/k_{\text{SPP}}/dl = -\tanh(\beta e^{al}/\alpha)$. We choose $\tau = \varphi(l) + \tan^{-1} dy/dx$ (the value of the angle between the τ line and x axis) on every τ line, where x and y describe the position of $l(x, y)$ for numerical calculation. This choice of value leads to $|\nabla\tau|(l_1) = \beta e^{al_1}$ on the white dashed line, where α and β are small constants. Also, as shown in Fig. 4(a), on the cyan line, $|\nabla\tau|(l_2) = \beta_2$, with β_2 a constant, and on the green trajectory, the motion has constant speed (see Fig. S7 in the Supplemental Material [74]). Here, a Rindler-analogous transformation occurs between the two trajectories (the white line and the cyan line) with a positive constant β_1 , $\beta_1^2 e^{2al_1} (dl_1^2 - d\Phi_1^2/k_{\text{SPP}}^2) = dl_2^2 - d\Phi_2^2/k_{\text{SPP}}^2$, which implies $|\nabla\tau|(l_1)/|\nabla\tau|(l_2) = \beta_1 e^{al_1}$. We experimentally implemented three situations in our metamaterials

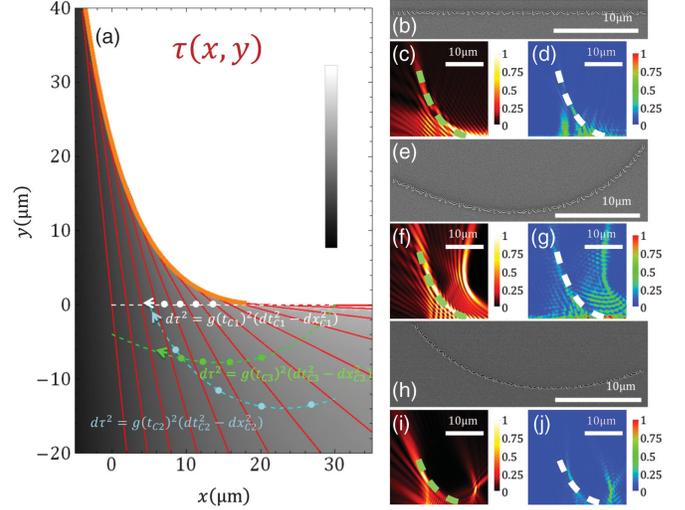


FIG. 4. Transformations analogous to the Rindler transformation in general relativity. (a) Shows SPP rays in red and caustic in orange. The dashed white line, green line, and cyan line of nanoslots represent different corresponding coordinates. (b),(e), (h) SEM images of samples of (b) white dashed line, (e) green dashed line, and (h) cyan dashed line with inclination angle of 52° . (c),(d),(f),(g),(i),(j) The simulations and experiments of these three cases. (c),(f),(i) Full wave simulations by COMSOL with the green dashed line labeling the corresponding caustic. (d),(g),(j) Experimental results with the white dashed line labeling the corresponding caustic. Legends on the right part of simulations and experiments describe the normalized amplitude with different colors.

platform, with corresponding SEM images for l_1 , l_2 , and l_3 in Figs. 4(b), 4(e), and 4(h). The simulations in Figs. 4(c), 4(f), and 4(i) show the same caustic, marked by a green dashed line, that appears in experiments in Figs. 4(d), 4(g), and 4(j), highlighted by the white dashed line. Showing the same caustic, which represents Bremsstrahlung radiation in the experiment, reveals a good match between theory, simulation, and experiment as realization of Rindler-analogous transformations. The caustic obtained based on Rindler metrics is a completely new kind of accelerating beam. It is only one special case of designing new kinds of accelerating beams from the metrics in gravity. In addition, many other metrics in general relativity are also worth trying in future research.

As in the examples mentioned above, when a transformation provides an equivalent curved trajectory of motion within the same τ plane, there is a gauge freedom on the τ line. If we choose another value $\tilde{\tau}(l) = f[\tau(l)]$, the gauge-independent quantity between two coordinates is $g(t_{C1})/g(t_{C2}) = \tilde{g}(t_{C1})/\tilde{g}(t_{C2})$. As in the equivalence principle in general relativity, we cannot distinguish a specific coordinate system due to the gauge freedom, but the comparison is meaningful, and this ratio actually modifies the relation between these two different space-times. As in the examples implementing Bremsstrahlung radiation, if we change the choice of value τ to $\tilde{\tau}$, their ratio still has

$g(l_1)/g(l_2) = \tilde{g}(l_1)/\tilde{g}(l_2)$ (see Fig. S9 in the Supplemental Material [74]). This gauge independence shows invariance in our scheme.

In summary, we propose a very flexible method to design transformation optical structures through combining curved shape and geometric phase. In this method, gauge freedom leads us to find many equivalent structures through covariant transformations, structures that share the same optical functions. Some interesting metrics in general relativity are employed for the first time to generate a new kind of plasmonic accelerating beam, the Rindler beam.

Up to now, different methods and structures in metamaterials have been used to mimic curved space [35–46,77,78]. Each kind of metamaterial has both advantages and limitations. Usually, it is not easy to make the effective index of metamaterials satisfy the requirement of curved space. Sometimes, the effective parameters need to be very large. This Letter provides an easy way to obtain a large effective index based on the geometric phase of spin photons. If we define the speed of a photon along the metachain as $v = (1/k_{\text{SPP}})d\Phi/dl$, we can define the effective index of a structure as $n_{\text{eff}} = c/v = ck_{\text{SPP}}/(d\Phi/dl)$. Here, as the geometric phase Φ is only determined by the nanoslot rotation angle $\Phi = \pm 2\theta$ (here, “ \pm ” represents geometric phases of different optical spins), we can change the refractive index n_{eff} by controlling θ . As the sign and amplitude of θ can be conveniently tuned, the effective index obtained in this way can be any value $n \in (-\infty, \infty)$ without being limited by resonance dispersions or the intrinsic properties of constitutive materials [see Supplemental Material Figs. S5(e), S5(f), and S7(g)–S7(i) [74]]. In experiments, we only need to tune the rotation angle of nanoslots. It is very easy to implement based on present techniques without need of new materials or new complex structure design. Another advantage of our work is that photonic spin can provide more freedom to control the effective index. As the sign of geometric phase is determined by incident spin, we can easily change the sign of the effective index by just reversing the photon spin. Furthermore, our method is not limited to surface plasmons, as it can also be extended to dielectric wave guides if we use dielectric particles to obtain geometric phase instead of metallic nanoslots.

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